

1652  
PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,736)

In the Application of:

Roderick L. Hall et al.

Serial No.: 09/218,913

Filed: December 22, 1998

For: A METHOD FOR ACCELEARATING  
THE RATE OF MUCOCILIARY  
CLEARANCE

Examiner: P. Tung

Group Art Unit: 1652

RECEIVED  
JAN 14 2002  
TECH CENTER 1600/2900Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

## TRANSMITTAL LETTER

1. We are transmitting herewith the attached:
  - a) Response to Office Action dated September 28, 2001;
  - b) Copy of Response dated April 4, 2001 to Office Action dated March 7, 2001;
  - c) Copy of 2<sup>nd</sup> replacement listing and 3.5" diskette containing the same; and
  - d) Return receipt of postcard
2. With respect to additional fees:

No Additional Fee is Required.
3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on this 19 day of October, 2001.

Dated:

Oct. 29, 2001

By:

Emily Miao  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. 98,736)

PATENT

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TECH CENTER 1600/2900

Re Application of:

Roderick L. Hall, et al.

Serial No.: 09/218,913

Filed: December 22, 1998

For: A METHOD FOR ACCELERATING  
THE RATE OF MUCOLICIARY  
CLEARANCE

Examiner: P.Tung

Art Unit: 1652

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, DC 20231

COPY

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Response to Office action dated March 7, 2001;
- b. Second Replacement Sequence listing (paper copy) and 3.5" diskette containing Sequence listing;
- c. Return postcard.

2. With respect to additional fees:

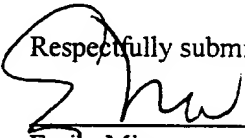
No fee is required.

3. **GENERAL AUTHORIZATION:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is attached.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on this 4 day of April, 2001.

Dated: 4/4/01

Respectfully submitted,

  
Emily Miao  
Reg. No. 35,285

McDonnell Boehnen Hulbert & Berghoff  
300 South Wacker Drive, Suite 3200  
Chicago, Illinois 60606  
Telephone No. 312-913-0001; Facsimile: 312-913-0002

Hon. Commissioner of  
Patents and Trademarks

S/N 09/218,913

Atty EM

Re: Applicant - Hall, et al

Case No. 98,736

A METHOD FOR ACCELERATING THE RATE OF  
MUCOCILIARY CLEARANCE  
Mailed April 4, 2001

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Transmittal Letter
- ☒ Response to Office Action dated March 7, 2001
- ☒ Sequence listing (paper copy) and 3.5 diskette containing sequence listing
- ☐ Other \_\_\_\_\_

Fee Enclosed

\$ 0

Respectfully,  
McDonnell Boehnen Hulbert & Berghoff  
Attorney for Applicant

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

## Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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